

Neuro-molecular characterization of fish cleaning interactions

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ABSTRACT

Coral reef fish exhibit a large variety of behaviours crucial for fitness and survival. The cleaner wrasse *Labroides dimidiatus* displays cognitive abilities during interspecific interactions by providing services of ectoparasite cleaning, thus serving as a good model to understand the processes of complex social behaviour. However, little is known about the molecular underpinnings of cooperative behaviour between *L. dimidiatus* and a potential client fish (*Acanthurus leucosternon*). Therefore, we investigated the molecular mechanisms in three regions of the brain (fore-, mid-, and hindbrain) during the interaction of these fishes. Here we show, using transcriptomics, that most of the transcriptional response in both species was regulated in the hindbrain and forebrain regions and that the interacting behaviour responses of *L. dimidiatus* involved immediate early gene alteration, dopaminergic and glutamatergic pathways, the expression of neurohormones (such as isotocin) and steroids (e.g. progesterone and estrogen), as well as social decision-making genes. In contrast, in the client, fewer molecular alterations were found, mostly involving pituitary hormone responses. The particular pathways found suggested learning and memory processes in the cleaner wrasse, while the client indicated stress relief and a reduction in aggression.

Keywords: Social Behaviour, Molecular Pathways, Transcriptomics, Species Interaction, Learning and Memory

49 1. Background

50

51 Social behaviour allows species to establish biological relations through intra- and
52 interspecific interactions. These relationships prompt species to generate social mechanisms
53 to survive (*e.g.* detect predators), reproduce (*e.g.* courtship) and thrive in nature (*e.g.*
54 territoriality, living in groups; [1]). Indeed, social behaviour is an ability that promotes
55 responses in organisms to react to specific situations, including biotic factors (*i.e.* competition
56 for shelter or food) and also to respond to their physical environment [2,3]. This ability to
57 respond to stimuli can be regulated to optimize their relationships with conspecifics and other
58 species, allowing them to perform more effectively in nature [1]. At present, the study of
59 social behaviour and its mechanisms have been centred on understanding the capacity to
60 regulate and change social relationships (social plasticity) that can enhance and promote
61 survival [4,5].

62

63 Many studies have focused on understanding the genetic, epigenetic, endocrine and neural
64 mechanisms underlying social behavioural responses [1,6,7]. For example, model organisms
65 such as *Drosophila melanogaster* and *Caenorhabditis elegans* have been used to study the
66 genetic systems that underlie neural and sensory processes [1,8]. In addition, considerable
67 advancements have been made using mammalian species such as *Mus musculus* and *Microtus*
68 *ochrogaster*, in particular to understand social brain circuits, reproduction, aggression and
69 social bonds [9–14]. Finally, other animals such as apes, humans, fish, molluscs and even
70 social insects have been studied to understand social behavioural traits and mechanisms such
71 as cognitive capacity, brain size, interspecific cooperation, and gene expression [8,15–21].

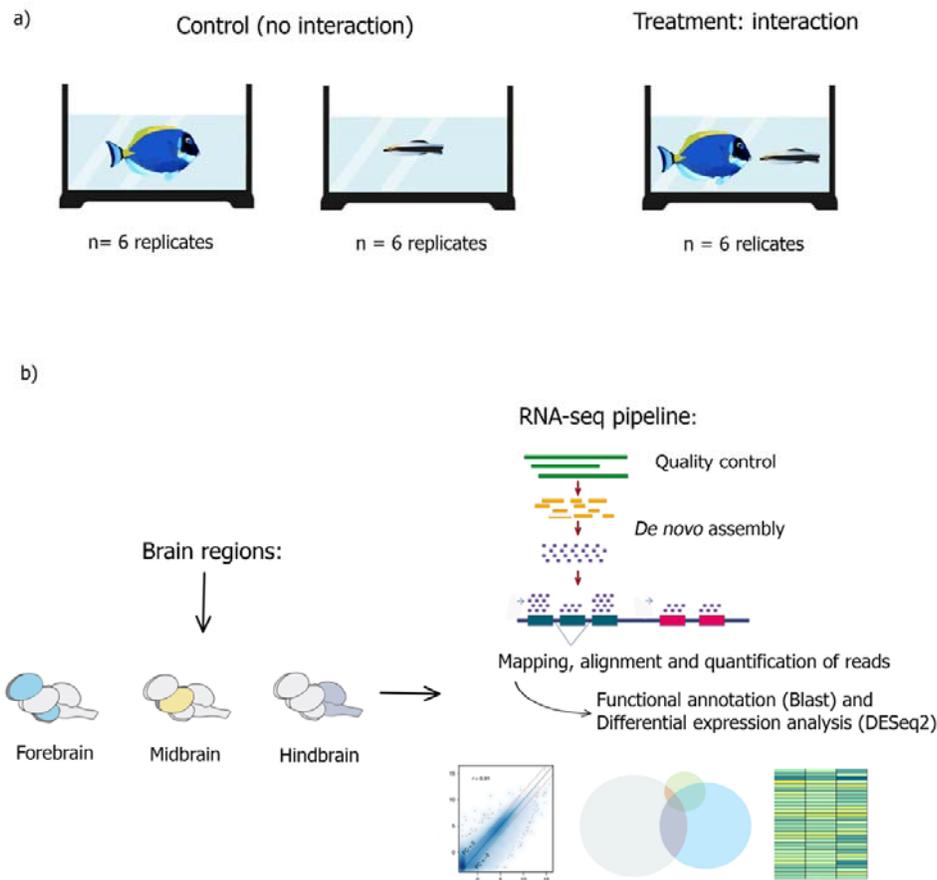
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73 To understand the underlying mechanisms of social interactions, the use of fish, with well-
74 developed social systems and behaviours is valuable as it offers a unique opportunity to
75 understand how these processes are shaped and have evolved [1,4,6,22,23]. In particular, the
76 coral reef cleaner wrasse *Labroides dimidiatus* is a widely studied species known for its
77 cognitive abilities and for its important role in cleaning ectoparasites from hosts, and in turn
78 enhancing fish biodiversity in local communities [24,25]. Studies on this species as a model
79 for behaviour, have focused on neural physiological responses in its interaction with other
80 species and how different social situations such as the establishment of social bonds modulate
81 their behaviour [26–29]. In fact, dopamine and serotonin levels influence the motivation of
82 cleaners to engage in interactions [30] and are induced during social stress [38]. Furthermore,
83 it was suggested that monoamines (dopamine and serotonin) play a role in how cleaners
84 modulate the service of cleaning as well as their willingness to interact, thus showing their
85 capacity to react rapidly to new social scenarios (*i.e.* presence of new clients [5,32]).
86 However, even though these studies have been conducted at behavioural and neurobiological
87 scales, the lack of molecular implications is evident, which is crucial to explain the functional
88 basis of behavioural processes.

89

90 In this study, we identified the molecular signatures of the interaction behaviour of *L.*
91 *dimidiatus* with its client fish *Acanthurus leucosternon* (figure 1). Whole-genome differential
92 gene expression patterns were analysed by comparing both cleaner and client individuals
93 after social cleaning interactions against individuals that did not interact (control). We
94 investigate these molecular signatures across the three main regions of the brain: forebrain
95 (FB), midbrain (MB) and hindbrain (HB). Consequently, identifying the underlying
96 molecular processes and neural pathways altered in the brain of these two fish species during
97 their social interaction is key to contribute to the understanding of mutualistic cleaning
98 behaviour at lower levels of biological organization. The cleaner wrasse is a promising

99 system to exhibit underlying mechanisms driving social plasticity and allows us to identify
100 the functions used in the processes of sensory information in the brain in vertebrate species
101 interactions.
102



103
104 **Figure 1.** a) Experimental design in which *Labroides dimidiatus* and *Acanthurus leucosternon* were kept
105 separately (control: no interaction) or allowed to interact (treatment: interaction) in the observation tanks. 40min
106 of video were recorded. b) Brain regions dissections (forebrain, midbrain and hindbrain) for each species, and
107 RNA-seq pipeline including *de novo* transcriptome assembly, differential gene expression and functional
108 analysis
109

110 2. Methods

111 (a) Experimental setup

112
113
114 To test for the molecular mechanisms involved in the interaction between two fish
115 species, twelve adult individuals of *L. dimidiatus* and *A. leucosternon* were collected from the
116 wild in the Maldive Islands and transported by TMC-Iberia to the aquatic facilities of
117 Laboratório Marítimo da Guia in Cascais, Portugal (figure 1). Fishes were habituated for 28
118 days to laboratory conditions. Water parameters were monitored daily and automatically
119 controlled using an aquarium computer (Profilux 3.1N GHL, Germany). Seawater conditions
120 were kept similar to their capture site (salinity = 35 ± 0.5 , temperature $29 \pm 1^\circ\text{C}$, pH $8.10 \pm$
121 0.05). Each cleaner fish was kept separately in individual tanks (20L) to avoid aggression as
122 they are highly territorial animals. In contrast, surgeonfish (*A. leucosternon*) were held in
123 groups of three individuals in 20L tanks. Fish were fed *ad libitum* once per day. Each

124 experimental tank had a flow-through aquatic system in which levels of alkalinity, dissolved
125 carbon and pH were strictly maintained. Natural seawater was pumped from the sea to a
126 storage tank of 5m³ and then filtered and UV-irradiated with a Vecton V2 300 Sterilizer
127 before reaching the experimental tanks. Experimental tanks were kept under a photoperiod of
128 12 h/12 h (light/dark cycle). Ammonia and nitrate levels were checked daily using
129 colorimetric tests and always kept below detectable levels (Salifert Profi Test, Netherlands).
130 Seawater temperature was regulated using chillers (Frimar, Fernando Ribeiro Lda, Portugal)
131 and underwater heaters 300 W, (TMC-Iberia, Portugal). Salinity was daily monitored with a
132 V2 refractometer (TMC-Iberia, Portugal), and pH and temperature with a VWR pH 1100H
133 pH meter (Avantor, US).

134

135 Behavioural tests started after the 28 days of laboratory acclimation. The tests were
136 performed in observation tanks (40 L) set in an isolated observation room. The fish were
137 either placed into a tank with i) no-interaction (control) or ii) interaction (cleaner-client pair).
138 In the no-interaction treatment, cleaners or clients were kept alone in the observation tank
139 (control), while for the interaction treatment, pairs composed of 1 cleaner and 1 client were
140 kept together in the observation tank, allowing them to have close contact (figure 1). Their
141 interactions were filmed for 40 minutes. At the end of the observation period, each fish was
142 immediately euthanized by severing the spine, body length was measured, and three
143 separated regions of the brain were immediately dissected out: forebrain, midbrain, and
144 hindbrain [33]. Tissues were placed in a tube, snap-frozen and kept at -80° C for further
145 processing.

146

147 **(b) Behavioural Video Analyses**

148

149 Behavioural analysis was performed in both treatments. For no-interaction individuals
150 (control), we looked for abnormal behaviour and stress such as erratic movement, secession
151 of swimming or aggressive postures. No abnormal or stress like events were found. For the
152 interaction treatment individuals, we analysed cleaning behaviour according to Paula et al,
153 2019. Cleaning behaviour was grouped into two categories, (i) cleaning interactions &
154 motivation and, (ii) interaction quality [30]. To characterise cleaning interactions &
155 motivation, we measured the number of interactions (cleaning interactions, *i.e.* close body
156 inspection and removal of damaged tissue or scales including inspection allowing posture of
157 the client), the number of interactions initiated by cleaners and client posing displays.
158 Interaction quality was determined by the duration of interactions, the number of client jolts
159 (conspicuous signals that indicate cheating [34]), the number of client chases and the number
160 and duration of tactile stimulation events (with pectoral fins, which reduces stress levels and
161 can prolong interaction duration [35,36]). All behavioural videos were analysed using the
162 event-logging software “Boris” [37].

163

164 **(c) RNA extraction and transcriptome assembly**

165

166 For RNA extractions, the frozen tissue was removed from the freezer, and 300µl of RTL
167 Buffer added with several sterile silicon beads for tissue homogenization in a TissueLyzer
168 (Qiagen) for 30 seconds at maximum speed to then follow the RNAeasy Mini Kit protocol
169 (Qiagen). DNA contamination was removed by DNase I treatment (Qiagen) according to the
170 manufacturer’s protocol. The resulting RNA was tested for quality and quantity in a Qubit
171 fluorometer, and RNA Integrity Number (RIN) was obtained using an Agilent Bioanalyzer,
172 and samples with a RIN > 8 were retained. mRNA-focused sequencing libraries were
173 designed with Illumina TruSeq v3 kits and sequenced for paired-end reads of 150bp length on

174 an Illumina HiSeq4000 at the King Abdullah University of Science and Technology corelab
175 facility [38]

176

177 In order to assess the molecular basis of species interactions, the obtained sequences were
178 processed following a bioinformatic pipeline. Raw read quality was examined using FastQC
179 v. 0.11.9 (Andrews S. 2010), then reads were trimmed and adapters removed to avoid the
180 presence of poor-quality sequences in our *de novo* assembly and downstream analysis. For
181 this, we used Trimmomatic v.0.36 (Bolger, A. *et al.*, 2014) with parameters as follows:
182 ILLUMINACLIP:TruSeq3-PE.fa:2:30:10 LEADING:4 TRAILING:3
183 SLIDINGWINDOW:4:15 MINLEN:40. For both species, there is currently no genome
184 reference available, hence a *de novo* transcriptome assembly was constructed for both species
185 separately using the reads from five samples per species with the trinity software v. 2.8.5
186 [39,40]) using the following parameters: `--seqType` for fastqc data, a `--max_memory` 20G, `--`
187 `CPU` 24 `--full_cleanup`, `--SS_lib_type`, and `--left -right`. Consequently, the assembly with the
188 most complete and longest transcripts and the highest percentage of protein recovery was
189 chosen (>95%, Supplementary table S4). The statistics of the assemblies were obtained using
190 trinity script *TrinityStats.pl*.

191

192 To assess the quality of the assembly, we investigated the read representation against our *de*
193 *nov*o assembly using the aligner Bowtie2 v. 2.3.4.1 [41], with the `--very-sensitive` parameter.
194 To reduce the transcript redundancy and to obtain only coding transcripts, we used the
195 software transdecoder v. 5.5.0 [40] to identify the candidate coding regions ORF (open
196 reading frame), keeping the option `-single_best_only`. From these results, we retrieved the
197 output file with the final candidate ORF regions of more than 100bp and then conducted a
198 BLAST analysis using the Swissprot/Uniprot and Zebrafish databases obtained from
199 www.uniprot.org (Swiss-Prot: release November, 2019) and NCBI (*Danio rerio*, number of
200 accession: txid7955, release Apr 2018), respectively. Moreover, we explored the
201 completeness of our assembly using BUSCO v3 [42,43] to obtain the number of conserved
202 ortholog content from our results represented in the dataset *Actinopterygiiodb9*. Finally, we
203 computed the Nx statistics to estimate the approximate length of our transcripts (N50) using
204 the trinity script *trinity.stats.pl*. The N50 statistic allows us to know that at least half (50%) of
205 the total transcripts have a specific length.

206

207 We annotated the transcripts of the final *de novo* transcriptome assemblies for each species
208 using BLAST+ 2.10.0: December 16, 2019, and the databases Swissprot/Uniprot protein
209 database (release November 29, 2019), Zebrafish (*Danio rerio*, release Apr 2018) for both
210 species, and the Ballan wrasse (*Labrus bergylta*, release March 2020) for *L. dimidiatus* only,
211 obtained from Ensembl (number of accession: GCA_900080235.1). We used *L. bergylta*
212 because it is the closest species to *L. dimidiatus* with an available genome annotation. Finally,
213 we used Omicsbox v. 1.3 [44] to functionally annotate the transcripts with Gene Ontology
214 (GO terms) and KEGG pathways.

215

216 (d) Differential Expression Analyses

217

218 To perform differential expression analyses, we quantified transcript abundance for each
219 species using the trinity script *align_and_estimate_abundance.pl* as follows: i) reference was
220 built by selecting `--est_method` RSEM [45] `--aln_method` bowtie2 `--trinity_mode` `--`
221 `prep_reference` and ii) quantification was run by indicating only RSEM v1.3.3 as
222 quantification method and Bowtie2 [41] as mapping tool in the same way as for i) reference.
223 With the final output files, we built a gene expression matrix using the script

224 *abundance_estimates_to_matrix.pl* [40], and we filtered out transcripts with no expression by
225 using the script *filter_low_expr_transcripts.pl* while retaining the most highly expressed
226 isoforms for each gene using the command *--highest_iso_only*.

227

228 To statistically evaluate differential gene expression, we used DESeq2-package v. 1.26.0 [46]
229 in R with a Wald test statistic with a *design = ~ treatment* for each region of the brain
230 separately. We used an FDR p-adjusted significance value of 0.05 and an absolute log2fold
231 change threshold of 0.3 as a cutoff. We compared the individuals from control vs interaction
232 to determine their significant differential gene expression for the three regions of the brain
233 forebrain (FB), midbrain (MB) and hindbrain (HB) to retrieve the molecular response
234 specific to these areas for both species, but separately for each species. Once statistically
235 differentially expressed genes (DEGs) were obtained, functional enrichments were performed
236 using Fisher's exact test by testing the DEG subsets against the whole *de novo* transcriptome
237 with a significance cutoff of FDR 0.05 in Omicsbox v. 1.3. Each fish species was analysed
238 separately to capture the full breadth of differential gene expression per species due to the
239 fact that the molecular reactions vary across organisms and the two species are not closely
240 related.

241

242 3. Results

243

244 Behavioural analysis

245

246 In the interaction treatment every pair of *L. dimidiatus* and *A. leucosternon* engaged in
247 cleaning interactions. On average, each pair engaged in 43 ± 17.5 interactions, with an
248 average duration of 13 ± 3.6 seconds, corresponding to a proportion of time spent interacting
249 of 13 ± 6.6 % out of the 40 minutes of behavioural trials. Of these interactions, on average,
250 75 ± 13.7 % were initiated by the cleaner. The cleaner fish' dishonesty was answered with an
251 average of 3 ± 2.8 client jolts and 2 ± 1.9 chases. Lastly, cleaners used tactile stimulation for
252 reconciliation on average 3 ± 3.4 times. In the control, both *L. dimidiatus* and *A. leucosternon*
253 were swimming around the tank without any display of abnormal behaviour or stress. All
254 behavioural data can be found in Supplemental table S1.

255

256 De novo transcriptome assembly

257

258 The obtained final *de novo* transcriptome assemblies are the first references for both
259 *L. dimidiatus* and *A. leucosternon* and resulted in 114,687 and 123,839 coding transcripts,
260 respectively. Values of N50 indicated that at least half of the transcripts had a length of 1,813
261 and 2,827 bases, respectively. For *L. dimidiatus* and *A. leucosternon*, a total of 26,380 and
262 30,770 transcripts were annotated using the Swissprot database, respectively (BioProject:
263 PRJNA726349). In addition, a total of 8,379 and 6,438 transcripts were annotated using the
264 *Danio rerio* reference, and 28,988 transcripts were annotated only for *L. dimidiatus* using
265 *Labrus bergylta* database. Further metrics and assembly steps can be found in supplementary
266 table S2 and S3.

267

268 Gene expression analyses

269

270 *Labroides dimidiatus*

271

272 We evaluated the gene expression differences between individuals from control,
273 which had no interaction, against individuals from the interaction treatment in each of the

274 three brain regions. 46 commonly differentially expressed genes (DEGs) across all brain
275 regions were found exhibiting 45 enriched functions such as dendrite cytoplasm, mRNA
276 binding, sodium ion transmembrane, transporter activity, ATP binding, positive regulation of
277 dendritic spine morphogenesis (figure 2c; Supplementary table S4). When analysing
278 differential gene expression for each brain region separately, we found that the hindbrain
279 (HB) exhibited the highest number of differential gene expression among the brain regions
280 (2,728 DEGs, figure 2a), followed by the forebrain (FB; 1,414 DEGs) and the midbrain (MB;
281 421 DEGs). In the HB, 1370 significantly enriched functions were found, including negative
282 regulation of neuron apoptotic process, synaptic cleft, AMPA glutamate receptor activity,
283 long-term memory, sensory perception of sound, and a total of 14 enriched functions related
284 to behaviour such as motor behaviour, grooming behaviour, behavioural fear response
285 (Supplementary table S7 and figure S3). In the FB, 985 biological processes were related to
286 behaviour such as signal transduction, calmodulin binding, social behaviour, locomotory
287 exploration behaviour, vocalization behaviour, among others (Supplementary table S5 and
288 figure S1). Finally, for the MB, functional enrichment only resulted in 357 significant
289 functions, including nuclear-transcribed mRNA catabolic process, nonsense-mediated decay,
290 neuronal action potential and sodium ion transmembrane transport (Supplementary table S6
291 and figure S2). Biological functions regarding behaviour in this brain region were related
292 with behavioural response to pain, locomotory behaviour, male courtship behaviour, maternal
293 behaviour and behavioural defence response (Supplementary table S6).

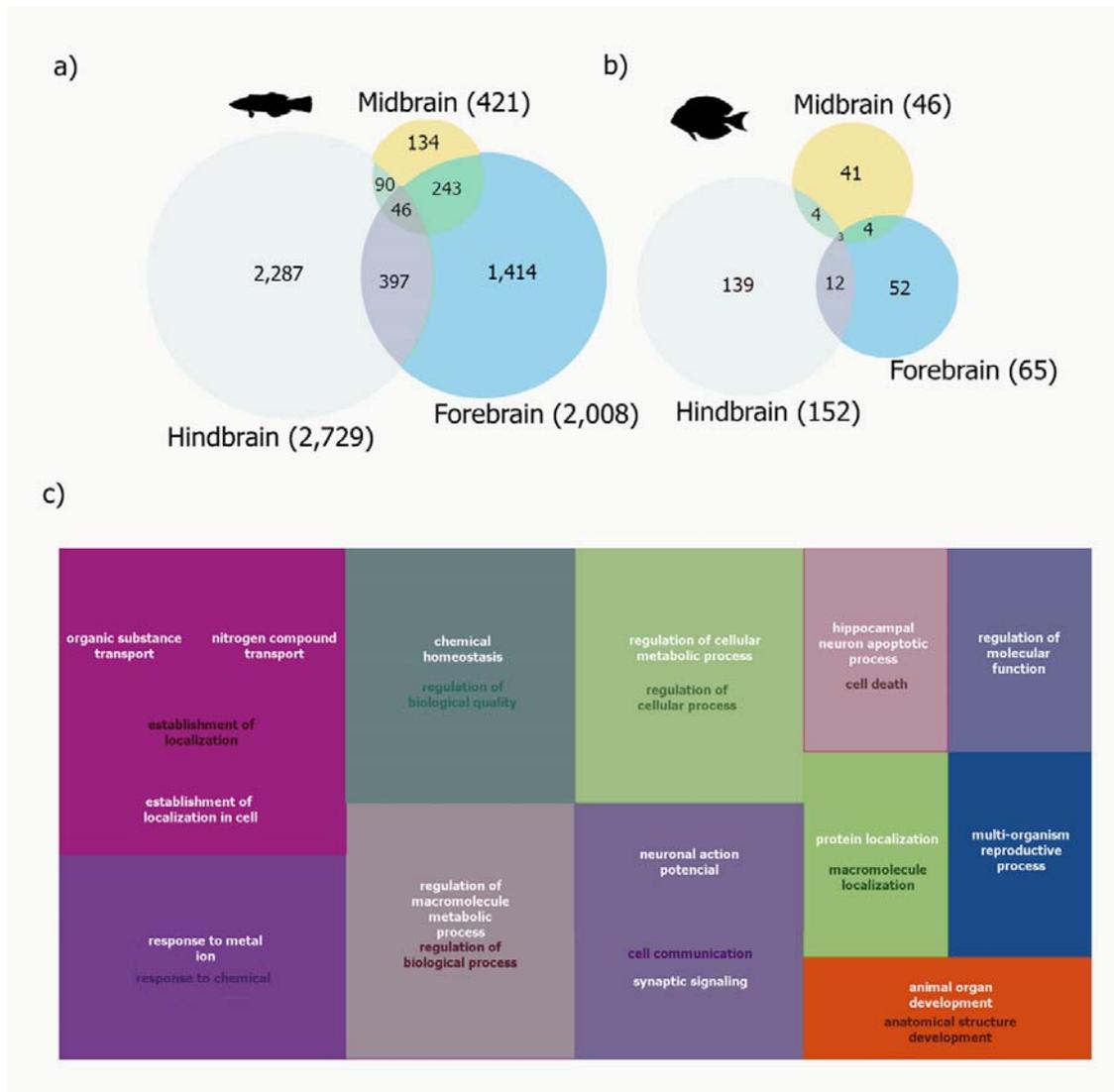
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295 *Acanthurus leucosternon*

296

297 For *A. leucosternon*, the total number of DEGs was lower in comparison with *L.*
298 *dimidiatus*. Only three common differentially expressed genes were found across the three
299 brain regions, which are RNA/RNP complex-1-interacting phosphatase (DUS11), ATP-
300 dependent DNA helicase PIF1 (PIF1) and a third gene for which no annotation was obtained.
301 However, when analysing differential gene expression in each brain region separately, we
302 found 139 DEGs in the HB and nine enriched in functions such as DNA metabolic process,
303 DNA repair, biological regulation and protein binding (Supplementary table S10). For the
304 FB, 52 DEGs were detected with six enriched molecular functions in signalling receptor
305 activator activity, receptor regulator activity, hormone activity, and two biological functions:
306 gas and oxygen transport (Supplementary table S8). Finally, 41 DEGs were found in the MB,
307 and only Rab protein signal transduction was significantly enriched (Supplementary table
308 S9). Like *L. dimidiatus*, the HB exhibited the highest number of DEGs in the comparison of
309 control vs interaction (figure 2a & b).

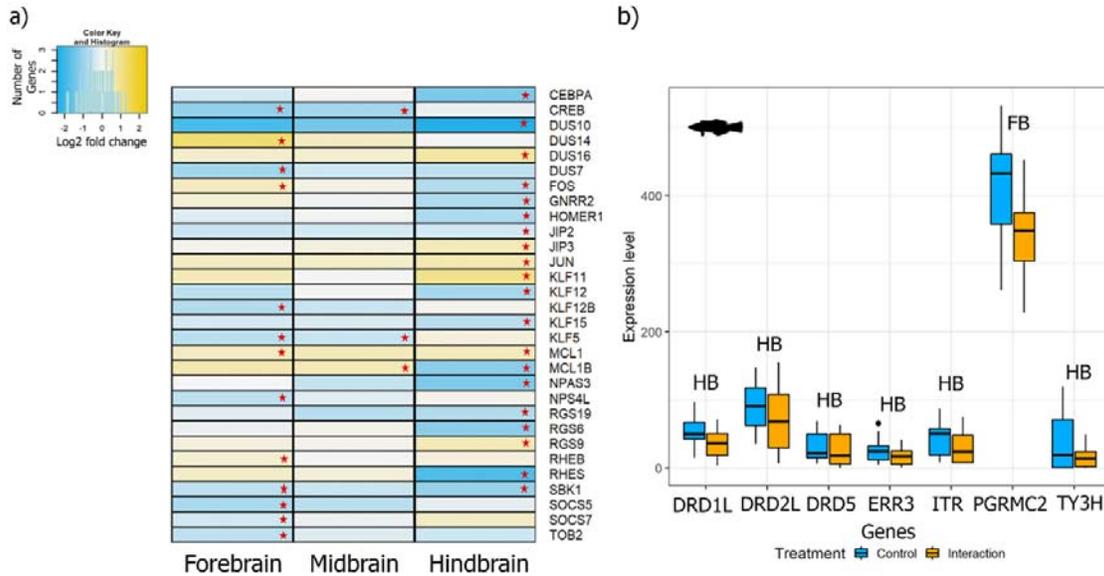
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311
 312 **Figure 2.** Number of differentially expressed genes between control and interaction for each of the three
 313 brain regions and the common overlap of (a) the cleaner fish *Labroides dimidiatus* and (b) the powder-blue
 314 surgeonfish *Acanthurus leucosternon*. Numbers in brackets represent the total differential expressed genes
 315 found at each brain region. (c) Gene Ontology treemap for *L. dimidiatus* representing the commonly
 316 enriched functions across the three brain regions when interacting with a client. Boxes with the same colour
 317 correspond to the upper-hierarchy GO-term, and its title is found in the middle of each box. For *A.*
 318 *leucosternon* no common enriched functions were found across the three brain regions. Description of the
 319 enriched functions for both species can be found in Supplementary table S6.
 320

321 Evidence of significant differential expression of Immediate Early Genes (IEG) was found
 322 in *L. dimidiatus* with 31 differentially expressed IEGs [47] in the three brain regions (figure
 323 3a). IEGs have been widely used to detect early neuronal responses in specific regions of the
 324 brain of vertebrates after external stimulus and are used as indicators of neural activity and
 325 adaptive plasticity in the nervous system [48]. These IEGs possibly contribute to the
 326 development of learning processes that can be useful during the social interaction of *L.*
 327 *dimidiatus*. However, more differentially expressed genes were found in the HB region (20)
 328 and the FB region (16) (figure 3a). Many of the functions of these genes are involved in
 329 signalling (*i.e.* RHEB, RGS6/9 and 19 [49,50]), transcription factor activation (*i.e.* KLF5/11
 330 [51]) and plasticity (*i.e.* NPAS4L [52]), as well as binding, apoptosis, neuroprotection and

331 phosphorylation (MCL1, SOCS5 [53,54]). From all IEGs found, differential expression of
 332 FOS [47], JUN [47], RHEB [48], KLF11 [55] and SBK1 [56] (figure 3a; Supplementary
 333 table S11) was detected, and these genes play important roles in learning processes, memory
 334 and neural development [48]. For instance, genes FOS, SBK1 and KLF11 were differentially
 335 expressed in FB and HB, while JUN was differentially expressed in the HB only. SBK1 was
 336 downregulated in FB and HB, whereas FOS only in the HB. Finally, for *A. leucosternon*, the
 337 only IEG differentially expressed found in our dataset was JUN in the HB, hence found
 338 differentially expressed in both studied species.

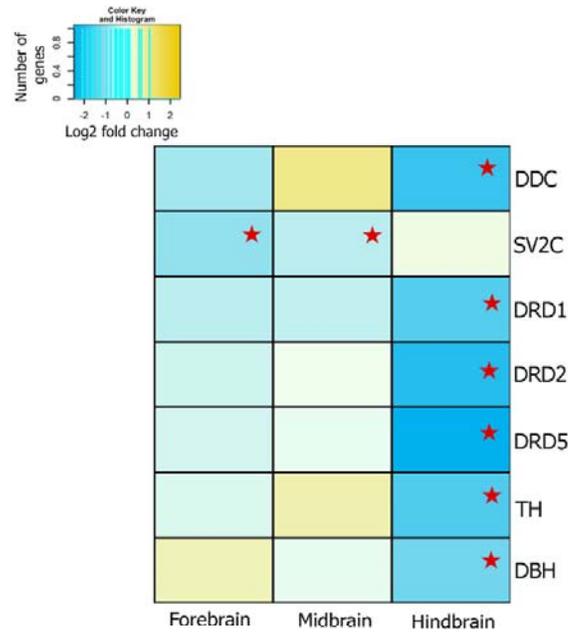


339 **Figure 3.** a) Comparative differential gene expression patterns of Immediate Early Genes between the
 340 regions of the brain of *L. dimidiatus*. Red stars represent significance. The legend indicates the reference
 341 values of log2fold changes for each DEG in the figure. b) Levels of expression based on normalized read
 342 counts of regulatory hormones genes from the Social Decision-Making Network (SDMN). These genes are
 343 significantly differentially expressed in the hindbrain (HB) and forebrain (FB) of *L. dimidiatus* between
 344 control and Interaction treatment.
 345
 346

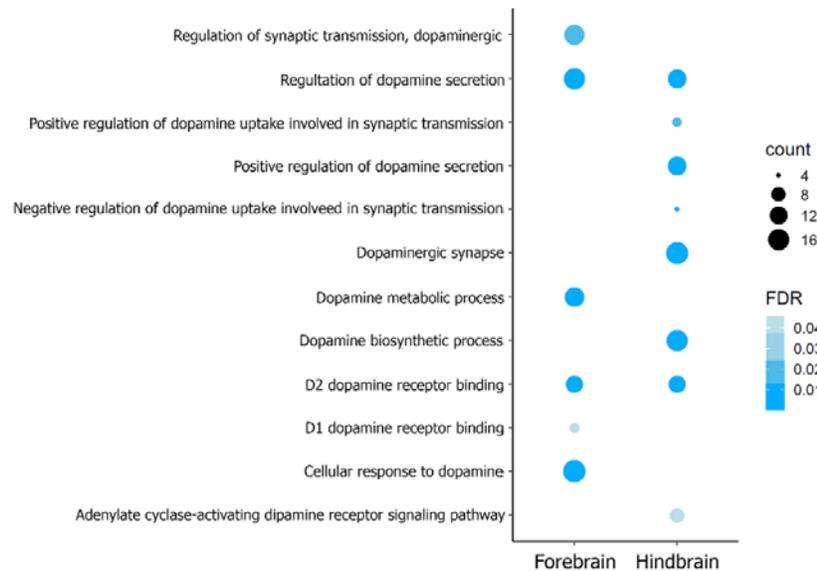
347 In addition, we found that seven out of the ten genes belonging to the Social Decision-
 348 Making Network (SDMN [57]) were differentially expressed in *L. dimidiatus*. In contrast,
 349 none of these genes were differentially expressed in *A. leucosternon* (figure 3a,
 350 Supplementary figure S4). For *L. dimidiatus*, SDMN genes were only significantly
 351 differentially expressed in the HB region, except the Progesterone receptor (PGRMC2),
 352 which was differentially expressed in the FB instead. Also, differential expression of
 353 hormones such as Estrogen (ERR3) and neurohormones like Isotocin receptor (ITR) were
 354 significant in the HB region only. Finally, as part of the SDMN, Dopamine receptors DRD1,
 355 2, 5 and TH (Tyrosine 3-monooxygenase) were also differentially expressed in the HB region
 356 (figure 3b, Supplementary table S12). Additional dopamine genes were found differentially
 357 expressed in the HB, such as Dopa Decarboxylase (DDC), Dopamine beta-hydroxylase
 358 (DOPO) and Tyrosine 3-monooxygenase (TH). These genes were downregulated during this
 359 interaction treatment (figure 4a, Supplementary table S13), and enriched functions such as
 360 Dopaminergic synapse, Dopamine biosynthesis processes, adenylate cyclase-activating
 361 dopamine receptor signalling pathway and regulation of dopamine secretion were detected in
 362 the HB only for *L. dimidiatus* (figure 4b). Regulation of synaptic transmission, dopamine
 363 metabolic process, D1 dopamine receptor binding, and cellular response to dopamine were
 364 enriched functions only in the FB (figure 4b). Enriched functions shared in the FB and HB

365 were the regulation of dopamine secretion and D2 dopamine receptor binding, suggesting that
 366 both brain parts oversee the modulation and frequency of dopamine release, whereas no
 367 differential expression of these genes was found in MB.

a)

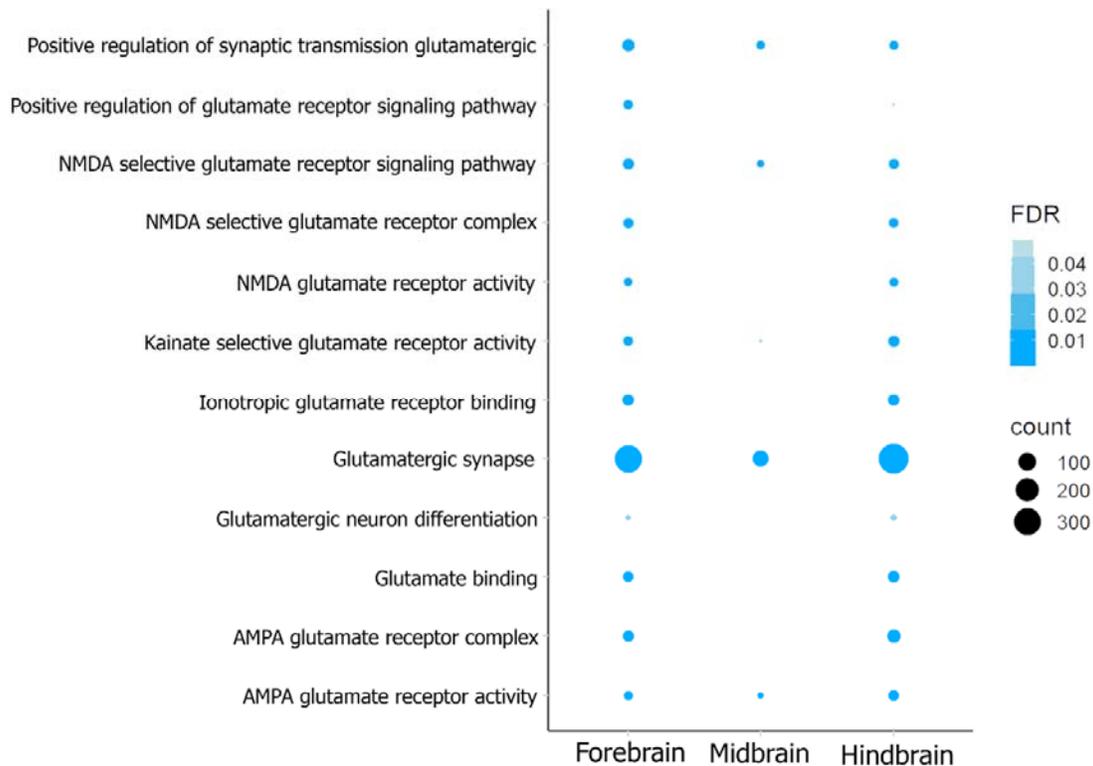


b)



368 **Figure 4.** a) Dopaminergic synapse pathway differential gene expression in the three brain regions of *L.*
 369 *dimidiatus* (genes obtained from KEGG PATHWAY Database-Dopaminergic synapse, entry: map04728).
 370 The colours presented correspond to log2fold changes. The red star represents significance. The legend
 371 indicates the reference values of log2fold changes for each DEG in the figure. b) Functional enrichment of
 372 Gene Ontology (GO) terms related to Dopamine activity in *L. dimidiatus* in the fore and hindbrain. No
 373 enrichment was found for the midbrain region. The size of the circles is proportional to the number of genes
 374 observed within each GO category, and the colour of the circles is proportional to the significance (FDR
 375 value).
 376
 377

378 Furthermore, several genes differentially expressed were also found for *L. dimidiatus*
379 related to the glutamatergic synapse pathway (figure 5; Supplementary table S14 and figure
380 S5). Many enrichments related to this pathway were exhibited in the brain of interacting *L.*
381 *dimidiatus*, such as positive regulation of synaptic transmission glutamatergic, NMDA
382 selective glutamate receptor signalling pathway, kainate selective glutamate receptor activity
383 and AMPA glutamate receptor activity, among others with enrichments in the three regions
384 of the brain (figure 5b). Interestingly, more functions were shared by the FB and HB, such as
385 AMPA glutamate receptor complex, ionotropic glutamate receptor binding, NMDA
386 glutamate receptor activity, glutamatergic neuron differentiation, glutamate binding and
387 NMDA selective glutamate receptor complex (figure 5b). These functions stem from a total
388 of 72 differentially expressed genes in the brain of *L. dimidiatus*, playing a role in the
389 glutamatergic synapse processes (Supplementary table S14). The differentially expressed
390 genes (DEGs) within this pathway also followed our previous general pattern in which the
391 HB region reports a higher number of significant DEGs (48), followed by the FB (46) and the
392 MB (16; Supplementary table S14). In particular, most of the ionotropic receptors
393 (Supplementary table S14) were differentially expressed in the HB and FB except for GRIA3
394 and GRIA4, which were also differentially expressed in the MB. These receptors exhibited a
395 downregulation pattern in all three regions of the brain when interacting with another species.
396 In contrast, metabotropic receptors were differentially expressed in HB and FB during
397 interaction treatment (Supplementary table S14); however, main receptors GRM1, 5 and 7
398 showed upregulation patterns in these two brain regions, whereas GRM3 and 4 were
399 downregulated at HB.
400



401 **Figure 5.** Functional enrichment of Gene Ontology (GO) terms related to the Glutamatergic synapse
402 pathway in *L. dimidiatus* individuals in the fore, mid and hindbrain. The enrichments are based on
403 differentially expressed genes of the comparison control vs interaction. The size of the circles is proportional
404 to the number of genes observed within each GO category, and the colour of the circles is proportional to the
405 significance (FDR value).
406

407

408 On the contrary, *A. leucosternon* only had two DEGs related to the glutamate pathway:
409 Glutamate carboxylase (DCE1) and Glutamate receptor ionotropic (GRID2). However, the
410 hormonal response was an enriched function in the brain of *A. leucosternon* during the
411 interaction treatment (Supplementary table S15). This was in concordance with the
412 enrichment terms found in the FB region of this fish (Hormone activity, supplementary
413 material table S15). In particular, pituitary hormones such as Somatotropin (SOMA),
414 Prolactin (PRL), Somatolactin (SOML2), Gonadotropins (GTHB1 and 2), Thyrotropin
415 (TSHB) and Glycoproteins (GLHA) were differentially expressed, but downregulated, during
416 the interaction treatment. Furthermore, differentially expressed genes with functions related
417 to calcium-binding activity, oxygen transport and signalling were upregulated during the
418 interaction in the FB (*i.e.* CHP2, FCRL5, PTPRF, ADA1B, MICU3, Supplementary table
419 S15 and figure S6), suggesting signalling roles in this part of the brain of *A. leucosternon*
420 during the interaction treatment in comparison with the control.

421

422 4. Discussion

423

424 We investigated the molecular mechanisms involved in the interaction behaviour of *L.*
425 *dimidiatus* with its client fish, *A. leucosternon*. We found distinct transcriptional and
426 functional patterns for the two species when interacting with each other. For *L. dimidiatus*,
427 the alteration of general neural processes in the brain such as dendrite cytoplasm, positive
428 regulation of dendritic spine morphogenesis, neuronal cell body and ATP binding is found
429 when brain regions are analysed together. However, functions related to social behaviour,
430 behavioural fear response, locomotory exploration behaviour, motor behaviour, among
431 others, were also observed in this fish while interacting with a client. *L. dimidiatus* is a
432 species known for having complex mutualistic behaviour that can be adjusted upon specific
433 cooperative signals [5,29]. We found molecular functions related to social behaviour,
434 suggesting this fish relies on specific brain regulations to develop social mechanisms and deal
435 with new information. This has been demonstrated at ecological and physiological levels in
436 this species, suggesting that this fish relies on several types of behaviours such as prosocial
437 behaviour, social recognition, social bonding, assessment of the social environment, social
438 memory and learning [58]. These cognitive dimensions have been identified as the building
439 blocks of cooperative behaviour in cleaner wrasses [29,58], including nonapeptides Arginine-
440 vasotocin (AVT) and Isotocin (IT) [59], Cortisol [29,60] and Dopamine and Serotonin
441 [30,61]. We now find molecular evidence underlying these cognitive skills by deciphering the
442 transcriptional basis to cooperative behaviour. The molecular signatures found specify neural
443 mechanisms used when cleaner wrasses interact with clients in social situations and
444 environments (*i.e.* new client, social recognition, [29]). In contrast, none of these genes
445 detected in *L. dimidiatus* were enriched in any of the brain regions of *A. leucosternon*,
446 indicating the ability of the cleaner wrasse to modulate and adjust its behaviour. These
447 changes occur in major areas of the brain, and therefore the exhibited underlying molecular
448 mechanisms reveal how this behavioural modulation occurs.

449

450 We observed different responses of the three brain regions, forebrain (FB), midbrain
451 (MB) and hindbrain (HB). The HB and the FB exhibited the largest transcriptional signature
452 with more differential gene expression during the interaction when compared to the MB in
453 both species. This suggests that most of the stimulus development occurs in these regions,
454 and they play essential roles in the process and maintenance of behaviour when *L. dimidiatus*
455 is interacting with *A. leucosternon*. The HB region in teleost fishes corresponds to the
456 cerebellum and myelencephalon (including rhombomeres, [62]), and its function has been

457 attributed to motor activity, autonomic responses (*i.e.* eye retraction, heart beating), spatial
458 learning as well as learning and memory [63,64]. In addition, the FB (divided into
459 diencephalon and secondary prosencephalon) is well known for controlling motivated
460 behaviour, memory, instinct modulation and decision-making [62]. Several studies are in
461 concordance with the present findings with behavioural activity detected in the FB and HB
462 confirming that social behaviour in *L. dimidiatus* is mainly processed in these brain areas
463 [29,65].

464

465 When analysing the specific molecular mechanisms of social behaviour, the Social
466 Decision-Making Network (SDMN) is important in the modulation of neural mechanisms for
467 social plasticity, specifically decision-making, learning or Long-Term Potentiation (LTP,
468 [5,66]. These genes are also conserved across many vertebrate species, including fish, and we
469 found 70% of the SDMN genes differential expressed in the brain of *L. dimidiatus* [57]. This
470 result suggests that the mechanisms used to modulate the social interaction in this species
471 were differentially expressed when encounters with *A. leucosternon* occur. For instance, the
472 Estrogen receptor (ERR3) and Isotocin hormone receptor (ITR), modulators of neural circuits
473 underlying behaviour that affect the perception and cooperativeness in teleost fishes [58],
474 were differentially expressed. In particular, Isotocin is an important neurohormone in the
475 social interactions of the cichlid fish *Neolamprologus pulcher*, as high levels of injected
476 Isotocin modulates sensitivity of individuals depending on the social information (size of the
477 opponent), thus inflecting the capacity of the individuals to fit their behaviour depending on
478 the circumstances presented (social plasticity, [1,67]). Therefore, the differential expression
479 of Isotocin and Estrogen in *L. dimidiatus* may be contributing to establishing social
480 relationships based on the modulation of neural processes that lead to individual recognition
481 and memory to cooperate with the client.

482

483 In addition, sex steroids (Progesterone and Estrogen) are also known to regulate
484 learning and memory processes in teleost fish when their expression is in the hippocampus
485 (FB), especially Progesterone [58]. Moreover, high mRNA levels of Estrogen receptor
486 modulate dominant behaviour in female zebrafish, causing changes in social status dynamics
487 [68]. On the one hand, we found that estrogen receptor gene expression was downregulated
488 during the interaction of the cleaner wrasse with its client, which could suggest a reduction in
489 dominant behaviour or possibly a submissive approach when facing a client. On the other
490 hand, the downregulation of the progesterone receptor in the FB suggests modulation of
491 higher brain functions such as recognition, social relationships, learning and memory when
492 interacting with a client. It has been demonstrated that the expression of Androgen,
493 Progesterone and Estrogen receptors in *A. burtoni* leads to the regulation of complex social
494 behaviour, behavioural plasticity, and the evaluation of rewarding stimulus between dominant
495 and subordinate males [69,70]. Consequently, the differential expression of sex steroids
496 receptors (estrogen, progesterone) and neurohormone receptors (ITR) shown here in the HB
497 and FB suggests that they might be a core behavioural mechanism regulating the modulation
498 of the cooperative behaviour of a highly social fish such as *L. dimidiatus* when interacting
499 with client species.

500

501 To understand how changes in gene expression mediate social cognition, Immediate
502 Early Genes (IEGs) can provide insights into rapid shifts in behavioural states [1]. Here, we
503 show that *L. dimidiatus* differentially expressed several IEGs in the brain during the
504 interaction with *A. leucosternon*, whereas the client did not show any change in transcription.
505 This indicates an important activation of transcription factors and their participation in the
506 transduction of signals when *L. dimidiatus* is interacting with a client. For instance, the

507 expression of *c-fos* (FOS) has been reported in the hippocampus region (FB) of cichlid fish *A.*
508 *burtoni* during social and territorial interactions of males, indicating regulation of memory,
509 spatial processing and social recognition when interacting with non-dominant males [71].
510 Thus, the upregulation of *c-fos* in the FB of *L. dimidiatus* may be suggesting similar neural
511 mechanisms from this part of the brain, but in our case, they may be activated to recognize
512 and interact with its clients. In addition, CREB regulatory factor (CREB) is critical for the
513 consolidation of memory (LTP, [72]) and was also found differentially expressed in the
514 cleaner wrasse. Studies examining the molecular mechanisms of learning and memory in
515 feeding and consolidation of new habits using mandarin fish (*Siniperca chuatsi*) have also
516 shown a differential gene expression of this gene when fish learn to eat dead prey after a
517 training period [73]. Therefore, the differential expression of CREB in the brain of *L.*
518 *dimidiatus* may also suggest the activation of downstream processes of memory when
519 interacting with clients in the FB and MB, which correspond to areas where LTP and
520 associative learning occur in teleost fishes [62]. Consolidation of memory and learning is
521 important for the cleaner wrasse as it can choose to clean clients, cheat or judge whether to
522 provide tactile stimulation [61]. Here we observed specific molecular signatures of IEGs such
523 as transcription factors *c-fos* and CREB, suggesting that social cognition, memory and
524 learning processes are activated in the brain of this species during interaction with clients.

525

526 Dopamine is also one of the major molecules related to signalling in the brain of
527 vertebrates, and it is well-known to be involved in the modulation of animal behaviour and
528 cognition [74]. The dopamine pathway was also involved in the interaction of our cleaner
529 wrasse with its client fish, highlighting it is one of the molecular regulators participating in
530 the cooperative behaviour of this fish. In addition, Dopamine signals are released upon
531 specific stimuli [75], and it has been established that it modulates the way *L. dimidiatus*
532 consolidates interactions with the clients as well as for associative learning [61,76]. For
533 instance, dopamine receptors D1 and D2 have been shown to be disrupted using antagonists
534 to test whether cleaner wrasse willingness to clean remains unaffected [77]. They found that
535 the alteration of receptors reduced levels of Dopamine transmission and increased cleaner
536 wrasses willingness to provide more tactile stimulation which is a more “costly” behaviour.
537 This highlights the role of this hormone in the modulation of social behaviour during
538 interaction with clients and agrees with the pattern found in our experiment in which
539 dopamine receptors D1 and D2 were also downregulated in the HB. Furthermore, changes in
540 social situations can cause alteration in these receptors because animals need to re-evaluate
541 decisions and make behavioural adjustments to new events [78], thus the downregulation of
542 dopamine in the HB may also be due to a response of dopamine signalling upon a new
543 stimulus in *L. dimidiatus* (having another fish in the same tank). Consequently, these
544 molecular signatures suggest that the cleaner wrasse may be increasing its willingness to
545 interact with the client and possibly promote major tactile stimulation and negotiation.
546 Finally, changes in the social context produce a re-evaluation of behaviour by activating
547 dopaminergic synapses, and this may explain the differential expression patterns of this
548 pathway shown here. Processes of learning, social behaviour modulation and decision-
549 making via dopamine cascades correspond to molecular signatures in the cooperative
550 behaviour of *L. dimidiatus*.

551

552 We found that the biosynthesis of dopaminergic synapses in the HB and the regulation
553 of dopamine secretion and metabolic processes in the FB were enriched functions when *L.*
554 *dimidiatus* was interacting with its client. The differential expression of Catecholamine
555 systems such as Dopaminergic activity are strongly ligated to specific regions of the brain
556 such as the rhombencephalon (HB) and telencephalon (FB) in teleost fishes [62]. In

557 particular, the FB (preoptic area, olfactory bulb, pallium, subpallium and retina) is known to
558 behold the core of the dopaminergic reward system in vertebrates, where several dopamine
559 neurons regulate similar processes of discrimination of sensory cues and expression of
560 tyrosine hydroxylase (TH) as the initial step of Dopamine pathway [79]. It has been shown
561 also that the FB is connected with the Ventral Tegmental Area (VTA) in the MB of
562 mammals, which is in charge of evaluating environmental stimuli and regulate motivating
563 events such as *L. dimidiatus* when interacting with clients [62,80]. Even though teleost fish
564 lack MB dopaminergic cell groups as shown by neuron immunofluorescence in zebrafish
565 [81], the posterior tuberculum (TPp) located in the limit between the FB and MB [64], may
566 be accomplishing this connective role of dopaminergic signalling as a homologous part of the
567 FB and VTA in mammals [6], and this is why we found gene expression in the MB region of
568 several dopamine genes but none of them were differentially expressed (figure 4 a, b,
569 Supplementary table S12). Consequently, our results highlight the potential brain areas where
570 differential expression of dopamine occurs during the cooperative behaviour of *L. dimidiatus*.

571

572 The formation of cognitive abilities, modulation of social interactions and memory are
573 not only determined by IEGs and Dopamine signalling. In fact, Glutamate is the primary
574 excitatory neurotransmitter in the brain and the central nervous system of vertebrates [82].
575 This neurotransmitter produces glutamatergic synapses in the brain, specific sites where
576 memory consolidation, synaptic plasticity, and storage occur. We found glutamate-related
577 genes differentially expressed in all three brain regions of *L. dimidiatus* during its interaction
578 with the client, indicating a key role of this pathway in the mediation of synaptic
579 transmission, activation of neurons and synapse plasticity in the brain of this fish. Differential
580 expression of the two major groups of glutamatergic receptors in the FB and HB regions
581 (ionotropic and metabotropic receptors) was found. In particular, ionotropic receptors NMDA
582 and AMPA (*gria* 1-4) suggest a contribution in the activation of Long-Term Potentiation
583 (LTP) processes and synaptic plasticity revealed by elevated expression in zebrafish in
584 response to Growth Hormone (GH) induction during an “*Inhibitory avoidance test*” where
585 electric shocks were received when entering in a dark zone [83]. We found that all ionotropic
586 glutamatergic receptors were differentially expressed mainly in the FB and HB in *L.*
587 *dimidiatus*. This expression pattern has been found in other fishes such as the electric fish
588 (*Apteronotus leptorhynchus*; [84]) and zebrafish [85] using autoradiographic binding sites
589 and *in situ* hybridization, respectively. Thus, our study suggests that LTP processes and
590 synaptic plasticity may be occurring in these two brain regions in *L. dimidiatus* during an
591 interaction. Moreover, both groups of glutamate receptors are thought to be the facilitators of
592 synaptic plasticity upon a new stimulus, produce memory consolidation development and
593 storage [66]. Thus, the fact that we found differential gene expression in these two brain
594 regions, suggests that the uptake of new information for *L. dimidiatus* from the interaction
595 with a new client may be triggering memory consolidation processes via glutamate
596 neurotransmission. It is well-known that this fish can recognise its clients to provide services
597 of cleaning [86], which uncover molecular signatures behind behavioural processes in the
598 cooperative behaviour of cleaner fish.

599

600 The discovered molecular signatures of the dopaminergic pathways, immediate early
601 gene activation and glutamate synapses were more evident in the bluestreak cleaner wrasse
602 than in the powder-blue surgeonfish. However, we observed in *A. leucosternon* that the FB
603 showed differential expression of pituitary hormones such as Somatotropin releasing-
604 hormone (SOMA), Prolactin (PRL), Somatolactin (SOML2), Pro-opiomelanocortin (POMC)
605 and Gonadotropin subunit beta-1 and 2 (GTHB1, 2). These hormones are strongly related to a
606 great variety of functions [87]. For instance, genes SOMA and PRL play a role in locomotor

607 and feeding behaviour and cognitive functions [83,88]. Moreover, SOMA and SOML2 have
608 been associated with stress and adaptation to environmental changes in the Atlantic cod
609 *Gadus morhua* and flounder *Paralichthys oliuaceus* [87]. Even though the variability and
610 versatility of pituitary hormones are considerable, some studies have evaluated the synergetic
611 effects in the behaviour of rainbow trout (*Oncorhynchus mykiss*) by confronting pairs of fish
612 after the injection of SOMA intra-peritoneally. Fish pairs containing a high expression of
613 SOMA displayed more aggressive behaviour during the interaction between individuals [88].
614 In addition, the up-regulation of POMC (Pro-opiomelanocortin) in socially subordinate
615 rainbow trout males suggested elevated cortisol levels in the Preoptic Area (POA/FB),
616 resulting in chronic stress and food intake disruption [89]. Even though *A. leucosternon* is
617 known to display aggressiveness during agonistic encounters by both females and males
618 when conspecifics invade their territory [90], no studies have reported the expression of these
619 hormones in this surgeonfish species. However, we can hypothesize that the downregulation
620 of both POMC and SOMA in the FB of *A. leucosternon* may indicate a decrease in
621 aggressive behaviour, as low expression of SOMA regulates this behaviour and the low
622 expression of POMC deactivates the pituitary axis in charge of reducing cortisol levels and
623 stress in teleost fish [91]. In addition, it is also well known that *L. dimidiatus* can provide
624 stress relief to clients by lowering cortisol stress hormone levels through physical contact
625 (known as tactile stimulation). This was demonstrated with a surgeonfish species
626 (*Ctenochaetus striatus*) when allocated in aquariums with look-alike models of *L. dimidiatus*
627 [36]. Furthermore, our behavioral trials also support this hypothesis since we found that 75%
628 of the interactions were initiated by the cleaner. Consequently, since physical contact alone
629 from *L. dimidiatus* can reduce stress in Acanthurid species and a downregulation of pituitary
630 hormones SOMA and POMC was observed during the interaction treatment, our results
631 suggest stress-relief behaviour in the client during the interaction with *L. dimidiatus* and this
632 is evidence of the molecular responses activated in the brain of this surgeonfish species when
633 interacting with cleaner wrasses.

634

635 In conclusion, differences in gene expression patterns in both fishes were noticeable,
636 being *L. dimidiatus* the species with large transcriptional reprogramming and associated
637 functions compared to *A. leucosternon*. When the two species interacted, *L. dimidiatus*
638 activated immediate early genes, especially in the HB and FB, and an important alteration of
639 molecular pathways associated with glutamate neurotransmission, dopamine synapse and
640 hormones (Isotocin, Estrogen and Progesterone) was also observed. In contrast, in *A.*
641 *leucosternon* the major molecular signal corresponded to decreased transcription of pituitary
642 hormone genes, suggesting a reduction of aggressiveness during the interaction with the
643 known capability of *L. dimidiatus* to reduce stress in its clients. Therefore, the molecular
644 signals found in this study suggest the activation of important processes used by social
645 species such as learning, memory, decision-making and social plasticity. Our results identify
646 underlying molecular signatures in neural networks activated in the brain of *L. dimidiatus* and
647 its client *A. leucosternon*. These advances to the current knowledge on cooperative behaviour
648 allow for understanding the cleaner wrasse's remarkable cognitive abilities.

649

650 **Author contributions**

651

652 JRP built the experimental setup with input from RR. JRP & CS designed the project. JRP
653 provided maintenance of aquarium setups, performed the behavioural assays and sampled the
654 fish brains. EO and JRP analysed the behavioural videos and data. CS, with support from TR,
655 conducted laboratory work and prepared samples for sequencing. SRC analysed data with

656 input from CS. SRC and CS wrote the first draft, and all author edited and approved the final
657 manuscript.

658

659 **Competing interests**

660

661 We declare we have no competing interests.

662

663 **Ethical note**

664

665 This work was conducted under the approval of Faculdade de Ciências da Universidade de
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693

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