

1 **Title**

2 Management and fire, a critical combination for *Eucalyptus globulus* [dispersal](#)

3 **Authors**

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11

12 **Abstract**

13 In a context of growing demands for wood and wood derived products, plantations of exotic tree
14 species have globally increased. Fast growth and high productivity made *Eucalyptus* one of the
15 most successful tree [genus](#) around the world. Nevertheless, this genus is often associated with
16 negative ecological impacts on biodiversity and ecosystem functioning and the risk of expansion
17 is considered a major threat. *Eucalyptus globulus* is the most planted tree species in Portugal, but
18 common silvicultural measures, including periodic control of the understory vegetation, have
19 traditionally limited natural regeneration. However, [forest fires constitute a main driver of *E.*](#)
20 [globulus dispersal and regeneration and, under the current climatic change scenario, the possible](#)
21 [extension of the summer fire regime to previous months in spring and/or later months in autumn,](#)
22 [may have a profound effect on *E. globulus* dispersal capacity. Moreover,](#) isolated eucalypt trees,
23 seed-trees, are often left uncut and many plantations are poorly managed potentially increasing
24 the risk of *E. globulus* [dispersal](#). To evaluate the impact of both management and fire event dates
25 on *E. globulus* dispersal, we assessed the establishment of saplings beyond plantations and seed-
26 tree boundaries in absence of fire and after 2017 June and October fires in managed and
27 unmanaged [conditions](#). Sapling survival was also analyzed two years after fire. [Our results point](#)

28 [out that sapling establishment in our study area is not a major concern in the absence of fire. Also,](#)
29 [our findings showed that *E. globulus* establishment is highly dependent on the time of the year a](#)
30 [fire occurs and that pre-fire management practices constrain *E. globulus* dispersal.](#) We also found
31 that seed-trees are high seed dispersers after fire even in managed conditions, deserving great
32 concern. Additionally, high sapling survival two years after October fire indicate that out of
33 season fires might constitute an emerging issue regarding *E. globulus* expansion.

34 **Keywords:** Sapling establishment; Eucalypt plantation; Seed-trees; Out of season fires.

35

36 1. Introduction

37 Globally, the use of exotic tree species in planted forests is a major component of terrestrial land
38 use in temperate and tropical regions and has been increasing in order to respond to growing
39 demands for wood and wood derived products (Dodet and Collet, 2012). Fast growth, high
40 productivity, and product quality, ascribed to many exotic species, are the main reasons why they
41 have been [widely](#) used (Turnbull, 1999). *Eucalyptus* is one of the most successful [tree genus](#)
42 around the world. Being native from Australia it is nowadays rated the second most cultivated
43 tree worldwide (Rejmánek and Richardson, 2013). Despite their economic and social benefits,
44 exotic tree species such as eucalypts are often associated with negative ecological impacts on
45 biodiversity and ecosystem functioning (Richardson, 1998; Hartley, 2002), including the risk of
46 expansion to surrounding areas where they may outcompete native species (Richardson and
47 Rejmánek, 2011).

48 In Portugal, *E. globulus* Labill. (Tasmanian blue gum), which was introduced in the middle of the
49 19th century (Alves *et al.*, 2007), occupies nowadays ca. 845.000 ha (ICNF, 2019) and is one of
50 the main forest species in the country. Most *E. globulus* [stands](#) in Portugal have been planted
51 intentionally and are managed for pulpwood production in rotation cycles of 10-12 years, usually
52 followed by a second or third coppice rotation (Soares *et al.*, 2007). Recommended silvicultural
53 measures include periodic control of the understory vegetation to reduce fire hazard and weed
54 competition for water and nutrients. As a result, any putative regeneration of *E. globulus* is likely

55 to be destroyed by vegetation control measures (Larcombe *et al.*, 2013; Fernandes *et al.*, 2016a).
56 Nonetheless, isolated *E. globulus* trees are often left uncut and many plantations are poorly
57 managed, resulting in the presence of old trees, often large and with high canopies with great
58 reproductive capacity, potentially increasing the risk of *E. globulus* dispersal. In particular, these
59 dispersed trees, named as seed-trees, are potential seed-sources (Adams *et al.*, 1994) whose
60 presence may have critical implications for *E. globulus* natural regeneration dynamics.

61 *Eucalyptus globulus* mature seeds are held in the canopy, inside the capsules. They remain there
62 for months or even a few years (Lamont *et al.*, 1991). Seeds will eventually be released and
63 dispersed, as branches dry out and fall. This process is more frequent during autumn and winter
64 (Calviño-Cancela and Rubido-Bará, 2013), or soon after a fire (Gill, 1997).

65 Given the wide range of environmental conditions and disturbances in which it has been
66 established (Kirkpatrick, 1975) there have been concerns about *E. globulus* ability to regenerate
67 and establish wildlings beyond plantations edges. These concerns resulted in several studies and
68 Weed Risk Assessments have recommended *E. globulus* to be considered an invasive species in
69 Europe, the Americas, New Zealand and the Pacific and Indian Ocean islands (e.g. Sanz-Elorza
70 *et al.*, 2001; Rejmánek and Richardson, 2013; Gassó *et al.*, 2010; Gordon *et al.*, 2012; Marchante
71 *et al.*, 2014). Nonetheless, other studies ranked *E. globulus* with moderate risk of invasion,
72 including in well managed plantations (Larcombe *et al.*, 2013; Fernandes *et al.*, 2016a; Ziller *et al.*,
73 2018). In fact, Rejmánek and Richardson (2011) concluded that eucalypts have a low
74 invasiveness potential compared with most other commercially important tree species, due to
75 limited seed dispersal, high seedling mortality, and lack of compatible ectomycorrhizal fungi.
76 Fernandes *et al.* (2016a) reported that *E. globulus* seedlings are not tolerant to drought stress, with
77 high mortality immediately after emergence, despite the fact that once seedlings overcome the
78 first two months, mortality rate decreases, as also suggested by Calviño-Cancela and Rubido-Bará
79 (2013).

80 A key factor that impacts the risk of dispersal and regeneration are forest fires (Fernandes *et al.*,
81 2016b). This is particularly critical in fire prone regions of Mediterranean-type climate with long

82 dry summers, such as Portugal (Salis *et al.*, 2014). Under the current climatic change scenario,
83 the frequency of out of season fires is likely to increase (Turco *et al.*, 2019), and may change the
84 known pattern of this species expansion.

85 In Portugal, 2017 was a particularly dry and warm year, which resulted in several large wildfire
86 events from June (early in the season) to mid-October (late in the season). As a consequence of
87 the June fires ca. 52.000 hectares (ha) burned, while the October fires devastated an even larger
88 area (ca. 190.000 ha) (ICNF, 2017). Fire events are a critical factor in stimulating *E. globulus*
89 regeneration, through dormant bud's sprouting and seedling recruitment (Silva and Marchante,
90 2012; Larcombe *et al.*, 2013; Águas *et al.*, 2014, Calviño-Cancela *et al.*, 2018), but seasonal
91 differences have not been studied in detail. High fire risk and a longer fire season raise concerns
92 about more extensive naturalization events and an increase in potential invasive behaviors.

93 The present study looks at the effects of fire, including out of season events, and the compounding
94 impact of forest management, along with the presence of seed-trees, on the success of *E. globulus*
95 recruitment. In particular, we hypothesize that natural regeneration and potential expansion of *E.*
96 *globulus* outside plantations and beyond the isolated trees (seed-trees) are affected by fire and
97 management practices. However, we expect that high sapling mortality will limit *E. globulus*
98 spread. In this context, the main objective of this study is to evaluate the impact of the 2017 June
99 and October forest fires and previous plantation management on the natural regeneration and
100 expansion capacity of *E. globulus* saplings. In order to achieve this, we evaluated the risk of *E.*
101 *globulus* expansion by i) assessing the establishment of *E. globulus* saplings outside plantations
102 edges and in the surrounding areas of isolated seed-trees in the absence of fire and after two
103 distinct fire events, ii) analyzing the role of vegetation management actions in establishment
104 outside plantation boundaries and area surrounding seed-trees, to ultimately mitigate the potential
105 invasive behavior and finally iii) assessing the survival rate of saplings two years after fire
106 occurrence. We expect this information will inform management decisions aimed at mitigating
107 the risk of *E. globulus* invasion.

108

109 **2. Material and Methods**

110 2.1 *Study area*

111 This study was carried out in Northern and Central Portugal, regions of higher productivity for *E.*
112 *globulus* (Alves *et al.*, 2007), in sites affected by the fire events that occurred on 17th June 2017
113 (Castanheira de Pêra (CDP) and Pedrógão Grande (PG)) and on 15th October 2017 (Castelo de
114 Paiva (CSP), Mira (M), São Pedro de Alva (SPA) and Pampilhosa da Serra (PS)) (Fig. 1a).
115 Climate in the study area is temperate with dry and mild summer (Csb), according to Köppen
116 climate classification (IPMA, 2021), [with mean annual temperatures ranging from 10.5 to 14.6](#)
117 [°C and total precipitation ranging from 952 to 1440 mm \(IPMA, 2021\). Elevation varies from 15](#)
118 [to 735 m. This study area experienced a very dry winter and spring in 2017 \(Fig. 1e and f\). Site](#)
119 [characterization is included in Supplementary Table S1.](#)

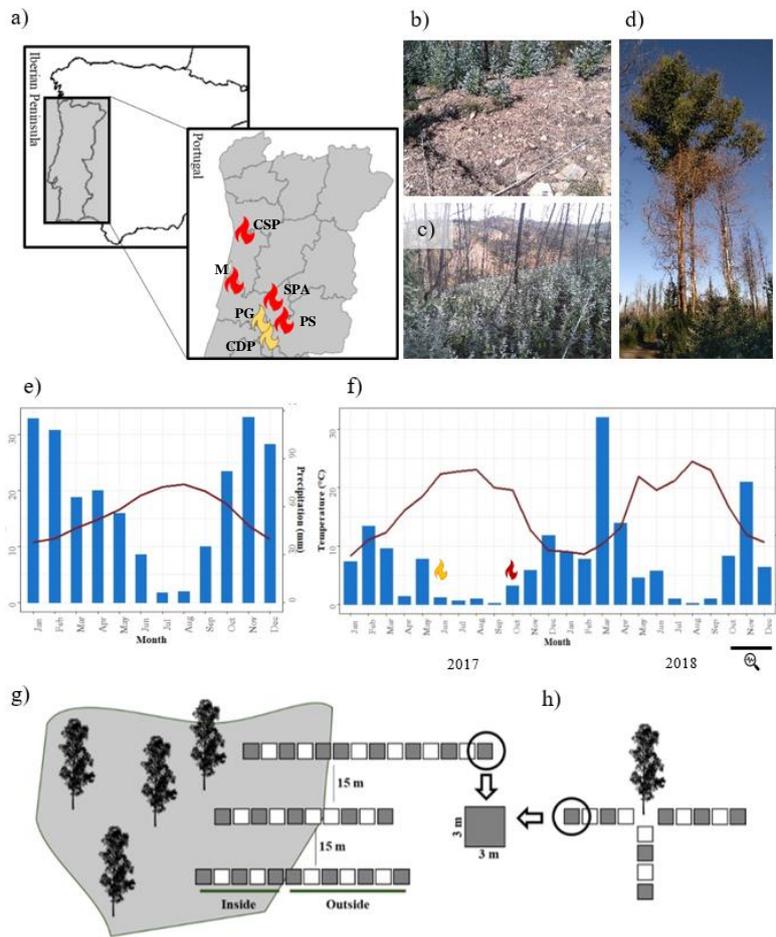
120 Within the area affected by the June fire, [three](#) managed and [three](#) unmanaged *E. globulus*
121 plantations were selected. In the October fire-affected areas, [seven](#) managed and [five](#) unmanaged
122 *E. globulus* plantations were studied. Fourteen and 28 isolated seed-trees, affected by the June
123 and the October fires, respectively, and 42 unburnt isolated seed-trees were also studied.
124 Simultaneously, 18 unburnt unmanaged plantations located close to the selected burnt plantations
125 were sampled. Moreover, data from a previous work with unburnt managed plantations
126 (Fernandes *et al.*, 2016a) was included in this study, [where the same sampling design was applied](#)
127 [and selecting only data from the same climatic region.](#) After fire, plantations were classified as
128 *managed* when no large trunks of shrubs and other tree species were observed (suggesting that an
129 effective understory vegetation control was carried out) and in the case of coppice stands when
130 the number of stems *per* stump were less or equal to three (which would indicate that appropriate
131 thinning of the stand was carried out). In order to classify the type of stand management around
132 seed-trees, surrounding areas were considered. Likewise, these areas were considered as *managed*
133 if no large trunks of burnt trees or shrubs in nearby forest or shrublands were observed.

134 Sampling procedures required meeting several stringent criteria that highly restricted plantation
135 and seed-tree selection. First, in order to ensure that the *E. globulus* saplings recorded belonged

136 to individuals from the studied plantation, plantations were selected (i) without any *E. globulus*
137 individuals in their adjacent areas; (ii) located at least 70 m apart from another *E. globulus*
138 plantation and (iii) with no seed-trees nearby. The presence of seed capsules on the *E. globulus*
139 trees was verified, to ensure the potential for natural regeneration. The size of the plantations,
140 with preference for larger areas, and good accessibility were additional conditions for plantation
141 selection. Finally, sampling for seed-trees implied the selection of trees (i) isolated from other
142 seed-trees at least 70 m; (ii) with at least 35 cm of DBH (Diameter at Breast Height) and; (iii)
143 with a completely burnt canopy.

144 Both plantations and seed-trees were classified according to *Type* (plantations or seed-trees), *Fire*
145 *occurrence* (No fire and June or October fire) and *Management* (managed or unmanaged) (Fig. 1
146 b, c and d; Tab. 1).

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148
 149 Figure 1: Location of the study regions in Portugal: a) study sites affected by June fire, are highlighted in
 150 yellow (Castanheira de Pêra (CDP) and Pedrógão Grande (PG)), while study sites affected by October fire
 151 are highlighted in red (Castelo de Paiva (CSP), Mira (M), São Pedro de Alva (SPA) and Pampilhosa da
 152 Serra (PS)); b) burnt managed *E. globulus* plantation; c) burnt unmanaged *E. globulus* plantation; d) burnt
 153 *E. globulus* seed-tree; e) monthly average temperature [°C] (line) and monthly average precipitation [mm]
 154 (bars) in study areas from 1982 to 2012 (Climate-date.org) and f) from January 2017 to December 2018,
 155 with fire events and October to December 2018 data collection highlighted. Schematic diagrams of transects
 156 design in plantation (g) and seed-trees (h): transects were established with 3×3 m plots. Only grey plots
 157 were sampled. Transects were oriented perpendicular to the plantation boundary edge or to the seed-tree.

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158 2.2 Field sampling

159 2.2.1 Assessing *E. globulus* dispersal and natural regeneration

160 Sampling was performed from September to December 2018, about one year after the fires. Data
161 were collected through transects from *E. globulus* plantation edge to adjacent areas occupied by
162 other habitats (Fig. 1 g). In each plantation, at least three transects (plantation transects) were
163 established 15 m apart, perpendicular to the plantation's boundary edge, and each transect was
164 divided in 3x3 m plots. The first plot was established at 0 or 3 m outside the plantation and then
165 plots were sampled alternately (adapted from Callaham *et al.*, 2013). Number and cover of *E.*
166 *globulus* saplings were quantified in each sampled plot. *Eucalyptus globulus* saplings were
167 distinguished from coppice or planted individuals, [through its position and size relatively to the](#)
168 [planted trees \(unkempt plantation lines\) that were mostly already resprouting](#). Transect sampling
169 finished when two consecutive plots had no *E. globulus* saplings, marking the limit of the *E.*
170 *globulus* expansion. Within the plantation, [three](#) alternate plots were always sampled (starting at
171 the symmetrical distance of the outside transect, *i.e.*, if the first outside plot was sampled at 3 m,
172 the same occurs to the inside plot, being marked as -3 m) (Fig. 1 g). Similarly, to assess *E. globulus*
173 natural regeneration around seed-trees, at least [two](#) perpendicular transects (seed-tree transects)
174 were sampled in each seed-tree with a maximum of [four](#) transects *per* tree (Fig. 1 h). [In what](#)
175 [concerns seed-trees, management type was classified at transect level, mostly due to location near](#)
176 [roads and/or adjacent habitats](#). Sampling finished when two consecutive plots had no *E. globulus*
177 saplings. This means that, in both cases, there were always at least [two](#) plots to the outside of the
178 plantation and in the surrounding areas of seed-trees. The total number of transects sampled is
179 summarized in Table 1.

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186 Table 1: Total number of transects sampled within the study area, including plantation and seed-tree
 187 transects, both managed and unmanaged and organized by Fire Occurrence (No Fire, June Fire and October
 188 Fire).

	No fire		June Fire		October Fire	
	Managed	Unmanaged	Managed	Unmanaged	Managed	Unmanaged
Plantation	277	54	10	13	30	21
Seed-tree	56	86	27	20	39	45

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191 Due to the high density of saplings along the seed-tree transects and the difficulty to count all of
 192 them, the possibility of using sapling cover instead was evaluated. The relationship between the
 193 total number and the area covered by the *E. globulus* saplings was examined through a Spearman
 194 correlation ([Supplementary Figure S2](#)) and, as expected, Spearman r value ($r=0.88$) confirmed
 195 that both parameters were highly correlated and consequently we proceeded to use sapling cover
 196 to characterize the incidence of natural regeneration.

197

198 2.2.2 Assessing *E. globulus* sapling survival

199 Considering only *E. globulus* burnt plantations, permanent plots with 1 m radius were set, in
 200 which *E. globulus* sapling number was assessed. These permanent plots were included inside
 201 3x3 m plots sampled. To evaluate sapling survival, these permanent plots were also monitored in
 202 October 2019, about two years after the fires, and the remaining saplings were recounted.

203 2.3 Data analysis

204 *Eucalyptus globulus* dispersal curves focused on fire events were constructed by plotting sapling
 205 mean cover for each distance from inside and outside the plantation edge and from each seed-
 206 tree. Then, a multivariate local polynomial regression estimator (Loess) fitting was applied. Loess
 207 is a non-parametric method that fits a quadratic surface by weighted least squares (Cleveland and
 208 Devlin, 1988). To assess the main factors affecting dispersal distances in *E. globulus* after fire,
 209 we used a Generalized Linear Mixed Model (GLMM) with a negative binomial distribution to
 210 account for unbalanced design and overdispersion associated with the high number of zeros in the

211 data. *Fire occurrence* (only “June fire” or “October fire”), *Management* and *Type* were included
 212 in the model as fixed factors and the variable *Site* as random effect (Tab. 2). Maximum distance
 213 reached by saplings was defined as the dependent variable.

214 Similarly, to assess the factors that influenced *E. globulus* natural regeneration, sapling cover was
 215 also modelled performing a GLMM with a negative binomial distribution and using *Fire*
 216 *occurrence*, *Management* and *Type* as fixed factors and *Site* as random factor. In order to
 217 guarantee the same number of sampled plots in each transect (i.e. two plots, see Field sampling),
 218 only the plots located within the first 15 m outside of the plantations and around seed-trees were
 219 considered. Finally, to determine which groups were significantly different, multiple pairwise
 220 comparisons of estimated marginal means were calculated, using the *emmeans* function.
 221 Bonferroni correction method was applied to adjust p values to multiple comparisons.

222 Sapling survival was evaluated through the comparison between the number of saplings counted
 223 one year (2018) and two years (2019) after June and October fires, in sampled plantations using
 224 Wilcoxon tests.

225 Data analysis was performed using packages *stats*, [glmmADMB](#) and *emmeans* in R studio
 226 software (v.3.6.1).

227 Table 2: Description of explanatory variables.

Variable	Data description
Type	Plantation or isolated seed-tree
Fire occurrence	No fire, June or October fire event
Management	Managed - trunks <i>per</i> tree were less or equal to three and if large trunks of burnt shrubs or trees were not observed
	Unmanaged - trunks <i>per</i> tree were higher than three and if large trunks of burnt shrubs or trees were observed

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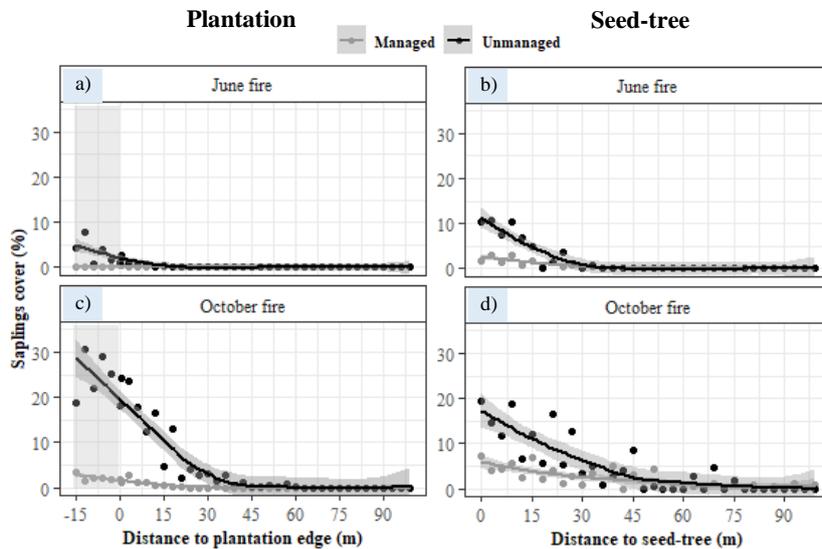
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232 **3. Results**

233 **3.1 Fire date and management effects in *E. globulus* dispersal**

234 Sapling cover and distance to both plantation edges and seed-trees showed a clear space-
235 dependent relationship. The fit between sapling cover and distance to plantation edge indicated
236 that, [although there were no statistically significant differences \(data not shown\)](#), highest cover
237 of saplings always occurred inside plantations (from -15 to 0 m), decreasing to the outside. This
238 pattern was also observed along the isolated seed-tree transects, with the maximum cover of *E.*
239 *globulus* saplings observed close to the seed-trees (from 0 to 15 m) and the cover values
240 decreasing with increasing distance from these trees.

241 [GLMM results indicated that Seed-tree, October fire and Unmanaged condition are significantly](#)
242 [increasing the distance at which *E. globulus* saplings occur \(Tab.3\)](#). For both plantations and seed-
243 tree transects sapling cover was significantly higher after the October fire than that observed after
244 the June fire (Fig. 2).



245 Figure 2: [Dispersal](#) curves of *E. globulus* saplings after the June and October 2017 fires, based on average
246 sapling cover (%) to the edge of managed and unmanaged *E. globulus* plantation (a and c) and managed
247 and unmanaged surrounding areas of *E. globulus* seed-trees (b and d) using Local Polynomial Regression

248 Fitting (Loess). Shaded area represents 95% confidence intervals. Negative distances (highlighted in grey)
 249 represent the inside of *E. globulus* plantations. The distance of 0 m represents plantation edge and seed-tree
 250 location.

251 When comparing unmanaged and managed conditions, in addition to a greater sapling cover, in
 252 the first, distances reached by *E. globulus* saplings were higher in unmanaged conditions for both
 253 plantations and seed-trees. Also, after the October fire, saplings were found at greater distances
 254 (45 and 75 m in plantation and seed-tree transects, respectively), while in plantations and seed-
 255 trees burnt in June, saplings reached 15 and 30 m, respectively. Saplings were observed to a
 256 maximum distance of 99 m away from seed-trees and 69 m from unmanaged plantations edge
 257 after the October fire, but at very low frequencies (with only [one](#) sapling observed in each case).

258 Table 3: Generalized linear mixed model (GLMM) using *Type* (Plantation or Isolated Seed-tree), *Fire date*
 259 (June fire and October fire) and *Management* (Managed or Unmanaged) to model maximum distance
 260 reached by *E. globulus* saplings. Coefficients of the model, standard errors, the z statistic and the associated
 261 probabilities: **: ≤ 0.01 ; *: < 0.05 .

	Estimate	Std. Error	z value	Pr(> z)
(Intercept)	-2.306	1.167	-1.98	0.048 *
October fire	2.687	1.237	2.17	0.029 *
Seed tree	3.259	1.151	2.83	0.005 **
Unmanaged	2.626	1.209	2.17	0.029 *
October fire * Seed tree	-2.139	1.223	-1.75	0.080 .
October fire * Unmanaged	-0.091	1.311	-0.07	0.945
Seed tree * Unmanaged	-1.316	1.293	-1.02	0.309
October fire * Seed tree * Unmanaged	-0.169	1.436	-0.12	0.906

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263 **3.2 E. globulus sapling establishment and survival**

264 Considering *E. globulus* establishment, GLMM results showed that all studied factors were
 265 influencing sapling cover in our study area (Tab. 4).

266 Table 4: Generalized linear mixed model (GLMM) using *Type* (Plantation or Isolated Seed-trees), *Fire*
 267 *occurrence* (No fire, June fire and October fire) and *Management* (Managed or Unmanaged) to model *E.*
 268 *globulus* sapling cover. Coefficients of the model, standard errors, the z statistic and the associated
 269 probabilities: **: ≤ 0.01 ; *: < 0.05 .

	Estimate	Std. Error	z value	Pr(> z)
(Intercept)	-2.490	1.229	-2.03	0.043 *
No fire	2.226	1.223	1.82	0.069 .
October fire	3.072	1.296	2.37	0.018 *
Seed tree	3.337	1.283	2.60	0.009 **
Unmanaged	2.742	1.369	2.00	0.045 *
No fire * Seed tree	-5.565	1.412	-3.94	8.1e⁻⁵ **
October fire * Seed tree	-2.183	1.393	-1.57	0.117
No fire * Unmanaged	-6.466	1.725	-3.75	1.8e⁻⁴ **
October fire * Unmanaged	-0.176	1.519	-0.12	0.908
Seed tree * Unmanaged	-1.452	1.510	-0.96	0.336
No fire * Seed tree * Unmanaged	4.947	1.988	2.49	0.013 *
October fire * Seed tree * Unmanaged	-0.122	1.711	-0.07	0.943

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271 The absence of fire revealed the lowest sapling cover (around 0%) when compared to the
 272 occurrence of fire, both in plantations and seed-trees, with no significant statistical differences
 273 observed also between managed and unmanaged regimes (Fig. 3).

274 After the June fire, the highest mean sapling cover was observed under unmanaged seed-trees
 275 (9.2±2.5%), while managed and unmanaged plantations and managed seed-trees showed low
 276 sapling cover (0.1±0.1%, 1.4±0.8% and 2.4±0.7%, respectively) with no statistically significant
 277 differences. On the other hand, the October fire showed heterogeneous results, with low sapling
 278 cover in managed plantations (1.5±0.5%), followed by managed adjacent seed-trees areas
 279 (6.5±1.8%) while sapling cover had the highest value in both unmanaged plantations and
 280 unmanaged adjacent areas to seed-trees (19.4±4.4% and 13.8±2.8%, respectively) (Fig.
 281 3). Comparing *E. globulus* sapling cover from plantations and seed-trees, higher sapling cover
 282 under seed-trees was always observed, except when comparing with unmanaged plantations, after
 283 the October fire.

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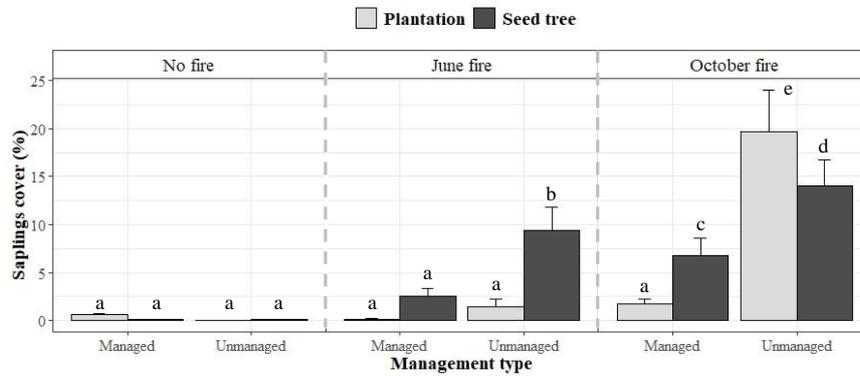
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290 Figure 3: *Eucalyptus globulus* sapling cover (%; mean \pm SE) for managed and unmanaged *E. globulus*
 291 plantations and managed and unmanaged surrounding areas of seed-trees in absence of fire (No Fire) and
 292 after June and October 2017 fires. Letters indicate significant differences based on multiple pairwise
 293 comparisons of estimated marginal means with Bonferroni adjustment.

294 Furthermore, Wilcoxon tests showed statistically significant differences between the number of
 295 *E. globulus* sapling counted in 2018 and recounted in 2019, except in managed plantations
 296 affected by the June fire (Fig. 4). In managed plantations affected by the June fire, sapling number
 297 remained the same (128 saplings/ha) while in unmanaged plantations, a decrease was observed
 298 (2008 to 175 saplings/ha). Managed and unmanaged plantations burnt in October followed the
 299 same trend (a decreased from 3255 to 1498 and from 25413 to 19414 saplings/ha, respectively,
 300 from 2018 to 2019). It is important to highlight that, despite the low number of saplings, survival
 301 was higher in managed plantations after the June fire (100% of survival). Notwithstanding,
 302 unmanaged plantations affected by the June fire revealed 25% of sapling survival. After the
 303 October fire, both managed and unmanaged plantations showed almost 75% of sapling survival
 304 (data not shown).

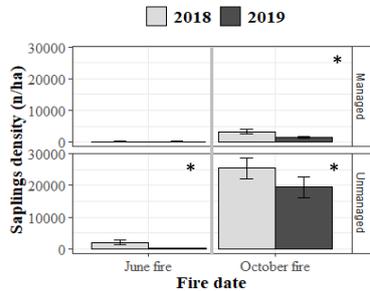
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314 Figure 4: Wilcoxon tests comparing *E. globulus* sapling density (n/ha; mean \pm SE) assessed in 2018 and
315 2019 for managed and unmanaged *E. globulus* plantations affected by the June and October 2017 fires.
316 Asterisks represent significant differences (* $p < 0.05$, ** $p < 0.01$).

317 4. Discussion

318 Our study found that the time of the fire event (naturally associated with after-fire weather
319 conditions) is determinant for the success of *E. globulus* natural regeneration. In addition,
320 management before fire is crucial to reduce the dispersal and degree of sapling cover.

321 It was also observed that the existence of seed-trees greatly contributes to *E. globulus* expansion.
322 Moreover, our results indicate that spring and autumn fire events have an additional impact on
323 sapling establishment. After the June fire, sapling cover was always lower than that found after
324 the October fire. Nevertheless, differences were only significant for unmanaged plantations,
325 underlying the importance of the synergistic effects between these factors. Most seedlings
326 germination occurred immediately after fire (Pryor, 1976), when bare soil and favorable weather
327 conditions were available, which is more likely to occur after an autumn fire (October fire) which
328 usually precedes wet weather, than after a spring fire (June fire) which is usually followed by dry
329 summer conditions.

330 Previous studies with spring sowing registered high mortality of *E. globulus* seedlings, associated
331 with high temperatures and drought (Fernandes *et al.*, 2017). Furthermore, dos Santos *et al.* (2015)
332 showed that seed release from capsules held in scorched branches could last eight weeks and
333 Nereu *et al.* (2019) suggested that ca. 70% of seedlings germinated within the first month after
334 sowing. Taking into consideration this information and the fact that 2017 summer was extremely

335 hot and dry, we suggest that the low sapling cover found after the June fire could be associated
336 with high mortality due to dry conditions. Consequently, saplings found after the June fire were
337 therefore either able to survive the summer drought or germinated in the rainy season [as suggested](#)
338 [by Silva et al., 2021, which mentions recruitment as a continuum](#). Thus, regardless of the
339 existence of management actions, the June fire has low *E. globulus* natural regeneration.

340 On the other hand, unmanaged areas surrounding seed-trees had a higher sapling cover. The
341 absence of management and clearing actions lead to a conspicuous capsule accumulation on the
342 soil (ca. up to 10 cm depth, field observations). During a fire only the capsules located in the
343 upper soil layer burn, while the ones of the lower layers remain intact, protecting seeds from the
344 heat damage (dos Santos et al., 2015), which may enable later germination. Additionally,
345 sprouting from seed-trees up in the canopy (Fig. 1d) may provide moderate shade and decrease
346 high soil temperatures, creating more favorable conditions that facilitate seedling recruitment and
347 survival, contributing to the higher sapling cover observed.

348 Conversely, after the October fire, due to the subsequent rainy season, [weather](#) conditions were
349 favorable to seedling establishment, resulting in high sapling cover one year after fire. However,
350 managed plantations showed low sapling establishment. It is known that *E. globulus* reaches
351 sexual maturity at [three](#) to [four](#) years (Jordan et al., 1999), but in dense plantations seed production
352 is suppressed and does not usually occur until trees are at least [seven](#) years old (Kirkpatrick,
353 1975). In fact, rotation cycles of 10-12 years reduce seed accumulation because trees are harvested
354 before reaching their full potential of seed production, which could explain the low sapling cover
355 within managed plantations. Also, capsules accumulation in the canopy is lower than in
356 unmanaged plantations, where trees are left uncut for many years, accumulating capsules
357 produced in different years, increasing their reproductive capacity (Barbour et al., 2008).
358 Additionally, studies are needed to compare capsules accumulation in the canopy and in the soil
359 as well as seed accounting and viability in trees with different ages, including seed-trees.

360 Similarly to other studies performed in Portugal (Fernandes et al., 2016a; Águas et al., 2017), we
361 confirmed that in the absence of fire, *E. globulus* sapling cover in surrounding areas to both *E.*

362 *globulus* plantations and seed-trees is extremely low. *Eucalyptus* seeds require wet and bare soil
363 to germinate (Rejmánek and Richardson, 2011) and in the absence of fire, areas outside
364 plantations are dominated by vegetation that compete (Garau *et al.*, 2009) and shade the soil
365 surface. Additionally, the accumulation of litter on the soil hinders *E. globulus* seedlings
366 emergence (Mount, 1964; Águas *et al.*, 2017). Also, litter prevents capsules and seeds from falling
367 directly on the soil surface, decreasing the emergence rate (Calviño-Cancela *et al.*, 2018). These
368 effects, combined with the fact that seed recruitment is positively related to disturbance
369 (Fernandes *et al.*, 2018), may explain the lack of *E. globulus* germination in the absence of fire
370 and the increase of sapling cover found in plantations affected by fire. After a fire, seeds have
371 favorable environmental conditions for their establishment, namely greater light and nutrient
372 availability as a result of the combustion of vegetation and litter (Calviño-Cancela *et al.*, 2018).

373 In this study, seed-trees were considered as a key propagule source, due to their age and seed
374 production potential. Isolated seed-trees showed higher sapling cover, except when comparing
375 with unmanaged plantations affected by the October fire. This may be due to a much higher
376 capsule production capacity (field observations) and lack of competition from coppice or
377 sprouting from pre-existing trees in plantations (Potts, 1986). Higher sapling cover in unmanaged
378 plantations after the October fire compared to seed-trees could be due to the higher seed
379 production ascribed to the higher number of trees present in plantations.

380 Regarding sapling establishment distances, for all the studied transects, a clear decrease was
381 observed from both *E. globulus* plantations edges and *E. globulus* seed-trees, until around 45 m.
382 Maximum saplings distances were 69 m away from plantations (similar to Deus *et al.*, 2019) and
383 99 m from seed-trees, both observed in unmanaged situations after the October fire. Greatest
384 distances reached by saplings from seed-trees are probably due to the higher height of these trees
385 (ca. 17 m comparing with 10 m in plantations), since it is known that *E. globulus* seeds do not
386 have an effective wind dispersal mechanism (Kirkpatrick, 1977). Expected distances are reported
387 to follow approximately twice the tree's height (Cremer, 1977). Moreover, the greatest cover of
388 saplings was always found within the plantations and along the first 15 m outside the plantations,

389 corresponding largely with results observed by other authors (Calviño-Cancela and Rubido-Bará,
390 2013; Larcombe *et al.*, 2013; Fernandes *et al.*, 2016a; Águas *et al.*, 2017; Deus *et al.*, 2019),
391 reflecting the limited seed dispersal ability of *E. globulus*. [Further studies could be performed](#)
392 [through geographic modelling of *E. globulus* expansion, to better understand its behavior and](#)
393 [dispersal risk, as suggested in the Global Guidelines concerning non-native trees use \(Brundu *et*](#)
394 [al., 2020\).](#)

395 Ashton and Chinner (1999) described that there is an antagonist set of conditions between
396 germination and establishment, since for seedlings emergence bare soil is crucial, while sapling
397 establishment requires shadowed conditions, associated with water availability. Hence, saplings
398 assessed one year after fire overcame a high mortality period (Calviño-Cancela and Rubido-Bará,
399 2013) and found favorable conditions for establishment. It is important to add that, [one-third of](#)
400 [E. globulus plantations were managed](#) after fire and some two years saplings were eliminated by
401 those practices, as reported by Águas *et al.*, 2014. [Moreover, it was observed a high mortality in](#)
402 [permanent plots, maybe due to interspecific competition like herbaceous and shrubs \(Fernandes](#)
403 [et al., 2018; Deus et al., 2019\) and also because one-year old saplings are more sensitive \(Garau](#)
404 [et al., 2009\).](#) Most of the saplings recounted two years after fire, were more than 1.5 m in height
405 and, as suggested by Adams *et al.* (2003), were able to overcome potential competition from other
406 species. However, at high *E. globulus* sapling densities reduction in sapling number is expected,
407 as a consequence of the increasing intra-specific competition.

408 Other studies performed in burnt areas in Portugal reported 8.800 *E. globulus* plants/ha five years
409 after fire in abandoned plantations (Silva and Marchante, 2012) and [4.800](#) plants/ha seven years
410 after fire (Águas *et al.*, 2014). Nevertheless, these studies are likely to be site and year dependent.
411 We found that unmanaged plantations burnt in October 2017 showed a significantly higher
412 sapling density (ca. 20.000 saplings/ha, two years after fire). This data represents the worst-case
413 scenario: an autumn fire, followed by rain and combined with the absence of plantation
414 management. The synergetic effect observed between fire and poor management highlights the
415 importance of forest management practices in the control of natural regeneration and expansion

416 of *E. globulus*. Along with *E. globulus* plantations, we emphasize the importance of isolated seed-
417 trees across the Portuguese landscape in the establishment and expansion of this species. We
418 underline the importance of considering it as an additional factor when planning control actions
419 to prevent *E. globulus* expansion beyond plantations.

420

421 **Conclusions**

422 This study clarified the conditions in which *E. globulus* could represent a risk of significant
423 dispersal to areas surrounding plantations or isolated seed-trees after a fire. Our findings indicate
424 that *E. globulus* establishment is highly dependent on both the time of the year a fire occurs and
425 the management practices implemented prior to fire. In the absence of fire, even in unmanaged
426 plantations, sapling establishment does not seem to be a major concern in [our study area \(mainly](#)
427 [Central Portugal\)](#), especially when fires occur early in the season followed by several dry months
428 during summer. In this context, adequate management seems to be an essential measure to prevent
429 *E. globulus* natural dispersal inside or around the borders of plantations once regular harvesting
430 will decrease capsule production and its accumulation, associated with younger age of trees.
431 Furthermore, even when an autumn fire events occur, just before the rainy season, *E. globulus*
432 sapling establishment in well managed plantations is very low. On the other hand, we found a
433 significant increase in sapling cover in both unmanaged plantations and isolated seed-trees after
434 the October fire. Therefore, it is critical to consider that, under a warmer weather, changes in
435 frequency, intensity and seasonality of fires are expected, influencing *E. globulus* dispersal
436 behavior. In this context, adequate management seems to be an essential measure to prevent *E.*
437 *globulus* establishment inside or around the borders of plantations. Furthermore, we found that
438 isolated seed-trees seem to be an overall effective seed disperser after the advent of a fire,
439 regardless of previous management actions.

440 Future studies should assess whether other specific local variables [along with out of season fires](#)
441 [occurrence](#) could further influence sapling establishment and survival. [Once it is known that these](#)

442 [events are becoming more frequent it is crucial to fully](#) understand the potential invasive behavior
443 of the species, [especially considering autumn fires that are followed by rainy season](#). By
444 increasing our knowledge about *E. globulus* expansion risks, we will contribute to improve best
445 practices in plantation management of this species in Portugal.

446 **Author contributions**

447 AA conducted fieldwork. All authors contribute to the conceptualization and methodology
448 design, AA and SC analyzed the data. AA led the writing and all the authors made substantial
449 contributions to the writing. All authors have read and agreed to the published version of the
450 manuscript.

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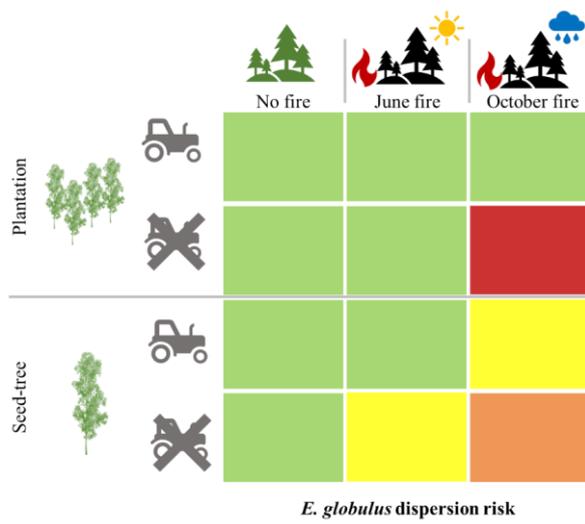
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593

594 **Graphical abstract**

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596



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Author statement

AA conducted fieldwork. All authors contribute to the conceptualization and methodology design, AA and SC analyzed the data. AA led the writing and all the authors made substantial contributions to the writing. All authors have read and agreed to the published version of the manuscript.